

09/830920 #3

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEYS DOCKET NUMBER: 0819-551 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/830,920
INTERNATIONAL APPLICATION NO. PCT/JP00/06177	INTERNATIONAL FILING DATE: September 8, 2000	PRIORITY DATE CLAIMED September 10, 1999
TITLE OF INVENTION STREAM CONVERTING METHOD AND APPARATUS THEREOF, AND STREAM RECORDING METHOD AND APPARATUS THEREOF		
APPLICANT(S) FOR DO/EO/US Satoshi KONDO		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
<p>Items 11 to 16 below concern document(s) or information included:</p> <ol style="list-style-type: none"> <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input type="checkbox"/> A FIRST preliminary amendment. <ul style="list-style-type: none"> <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A change of power of attorney and/or address letter. <input checked="" type="checkbox"/> Other items or information: <ul style="list-style-type: none"> Response to Notification of Missing Requirements Application Data Sheet Recordation Cover Sheet and Executed Assignment Documents 		

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50) 09/830,920	INTERNATIONAL APPLICATION NO. PCT/JP00/06177	ATTORNEYS DOCKET NUMBER: 0819-551	
17. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS	PTO USE ONLY
BASIC NATIONAL FEE (37 CFR 1.492(a)(1) – (5)):			
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO		#1000.00	
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....		\$860.00	
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(3)) paid to USPTO		\$710.00	
International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4).....		\$690.00	
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4).....		\$100.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =			
Surcharge of \$130.00 for furnishing the oath or declaration later than <input checked="" type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	12 - 20 =	0	X \$18.00
Independent claims	6 - 3 =	3	X \$80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$270.00	
TOTAL OF ABOVE CALCULATIONS =			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.		\$	
		SUBTOTAL =	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$	
		TOTAL NATIONAL FEE =	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$	
		TOTAL FEES ENCLOSED =	
		Amount to be refunded: \$	
		Charged: \$	
a. <input checked="" type="checkbox"/> A check in the amount of \$130.00 to cover the above fees is enclosed.			
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.			
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-2380</u> . A duplicate copy of this sheet is enclosed.			
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.			
SEND ALL CORRESPONDENCE TO:			
<p>Ref: 08/02/2001 MNNGUYEN 0008403100 192380 Name/Number: 09830920 C: 704 \$90.00 CR</p>			
 SIGNATURE: <u>Eric J. Robinson</u> NAME			
38,285			
REGISTRATION NUMBER			

epln. Ref: 08/02/2001 MNNGUYEN 0008403100
#192380 Name/Number:09830920
C: 704 \$90.00 CR

SIGNATURE:

Eric J. Robinson

NAME _____

38,285

REGISTRATION NUMBER

09/830 920

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Satoshi KONDO) Attention: Applications Branch
Serial No. 09/830,920)
Filed: September 8, 2000)
For: STREAM CONVERTING METHOD)
AND APPARATUS THEREOF, AND)
STREAM RECORDING METHOD)
AND APPARATUS THEREOF)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with
The United States Postal Service with sufficient postage as First
Class Mail in an envelope addressed to: Commissioner for Patents,
Washington, D.C. 20231, on _____

RESPONSE TO NOTIFICATION OF MISSING**REQUIREMENTS UNDER 35 U.S.C. 371**

Honorable Commissioner for Patents

Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirement Under 35 U.S.C. 371, dated May 31, 2001, submitted herewith is an executed Declaration and Power of Attorney, and a copy of Notification of Missing Requirements Under 35 U.S.C. along with the fees under 37 CFR 1.16. (Surcharge - \$130).

The above fees are covered by the attached check in the amount of \$130.00. Should there be any additional fees required for proper filing of the attached documents, please charge Deposit Account No. 19-2380. Prompt issuance of a filing receipt is respectfully requested.

Respectfully submitted,


Eric J. Robinson
Reg. No. 38,285

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(703) 790-9110

SCANNED, #18